FORM D

RECEIVED

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM D
NOTICE OF SALE OF SECURITIES
PURSUANT TO REGULATION D,
SECTION 4(6), AND/OR
UNIFORM LIMITED OFFERING EXEMPTION

OMB APPROV	AL
OMB Number:	oril 30, 2008 :n
07050232	Serial
0,000-	1

209 /	CIO					<u> </u>
Name of Offering	(☐ check if this is an a	mendment and name	has changed, and ir	ndicate change.)	· · · · · · · · · · · · · · · · · · ·	
Offering of Limited	Liability Company Inter	ests of CA Core Fixe	d Income Fund, LL	.c		
Filing Under (Check	box(es) that apply):	☐ Rule 504	☐ Rule 505	Rule 506	Section 4(6)	ULOE
Type of Filing:	☐ New Filing					
		A. BASI	DENTIFICAT	ION DATA		
1. Enter the inform	nation requested about th	e issuer				
Name of Issuer	check if this is an ar	nendment and name h	as changed, and in	dicate change.		
CA Core Fixed Inco	ome Fund, LLC					
Address of Executive	e Offices		(Number and Street	et, City, State, Zip Co	· 1 · ·	umber (Including Area Code)
c/o Commonwealth	Advisors, Inc., 247 Flor	rida Street, Baton Ro	<u> </u>		(225) 343-934	42
Address of Principal	Offices		(Number and Stre	OVESSE	de) Telephone N	umber (Including Area Code)
(if different from Exe	ecutive Offices)		u 0 4			
Brief Description of I	Business: Private In	vestment Company	Д	PR 2 6 2007 /		
Type of Business Or	rganization				•	
	☐ corporation	🔲 limited p	artnership, already	form Edvison	other (please s	pecify)
	☐ business trust	☐ limited p	artnership, to be for	THECHANCIAL	Limited Liability Co	mpany
			Month	Year	<u>-</u>	
Actual or Estimated	Date of Incorporation or C	Organization:	0 9	0	5 🛛 🗖 Ac	tual
Jurisdiction of Incorp	poration or Organization:	(Enter two-letter U.S. F	Postal Service Abbre	eviation for State;		
		CI.	U for Canada: EN fo	r other foreign inviced	iotion) D	. i = 1

GENERAL INSTRUCTIONS

Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

State

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

Persons who respond to the collection of information contained in this form are

not required to respond unless the form displays a currently valid OMB control number. A. BASIC IDENTIFICATION DATA Enter the information requested for the following: Each promoter of the issuer, if the issuer has been organized within the past five years; Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer; Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and Each general and managing partner of partnership issuers. Check Box(es) that Apply: □ Promoter ■ Beneficial Owner □ Executive Officer □ Director Managing Member Full Name (Last name first, if individual): Commonwealth Advisors, Inc. Business or Residence Address (Number and Street, City, State, Zip Code): 247 Florida Street, Baton Rouge, LA 70801 Check Box(es) that Apply: ☐ Promoter ■ Beneficial Owner Executive Officer □ Director ☐ General and/or Managing Partner Full Name (Last name first, if individual): Walter A. Morales Business or Residence Address (Number and Street, City, State, Zip Code): c/o Commonwealth Advisors, Inc., 247 Florida Street, Baton Rouge, LA 70801 Check Box(es) that Apply: ☐ Promoter Beneficial Owner □ Director ☐ General and/or Managing Partner Full Name (Last name first, if individual): Kevin S. Miller Business or Residence Address (Number and Street, City, State, Zip Code): c/o Commonwealth Advisors, Inc., 247 Florida Street, Baton Rouge, LA 70801 Check Box(es) that Apply: □ Promoter ■ Beneficial Owner ☐ Executive Officer □ Director ☐ General and/or Managing Partner Full Name (Last name first, if individual): Business or Residence Address (Number and Street, City, State, Zip Code): Check Box(es) that Apply: □ Promoter ☐ Beneficial Owner □ Executive Officer □ Director ☐ General and/or Managing Partner Full Name (Last name first, if individual): Business or Residence Address (Number and Street, City, State, Zip Code): Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner ☐ Executive Officer ☐ Director ☐ General and/or Managing Partner Full Name (Last name first, if individual): Business or Residence Address (Number and Street, City, State, Zip Code): Check Box(es) that Apply: ☐ Promoter ■ Beneficial Owner ☐ Executive Officer □ Director General and/or Managing Partner Full Name (Last name first, if individual): Business or Residence Address (Number and Street, City, State, Zip Code): Check Box(es) that Apply: □ Promoter ☐ Beneficial Owner ☐ Executive Officer Director ☐ General and/or Managing Partner

Full Name (Last name first, if individual): Business or Residence Address (Number and Street, City, State, Zip Code): □ Promoter ☐ Beneficial Owner □ Executive Officer □ Director ☐ General and/or Managing Partner (Use blank sheet, or copy and use additional copies of this sheet, as necessary)

Check Box(es) that Apply:

	B. INFORMATION ABOUT OFFERING												
1.	Has the issu	er sold, or	does the is	suer inten	d to sell, to	non-accr	edited inve	estors in th	is offering	?	•••••	☐ Yes	⊠ No
•	14/6-4 *- 4			L _ 4 78 1				lumn 2, if f	•				-
2.	What is the i	ninimum in	ivestment t	nat will be	accepted	trom any i	naiviauai?	***************************************			•••••		50,000** be waived
													
3.	Does the off	•	-	•	•							☐ Yes	⊠ No
4.	4. Enter the information requested for each person who has been or will be paid or given, directly or indirectly, any commission or similar remuneration for solicitation of purchasers in connection with sales of securities in the offering. If a person to be listed is an associated person or agent of a broker or dealer registered with the SEC and/or with a state or states, list the name of the broker or dealer. If more than five (5) persons to be listed are associated persons of such a broker or dealer, you may set forth the information for that broker or dealer only.												
Full	Name (Last r	ame first, i	f individual)									
Busi	ness or Resid	lence Addr	ess (Numb	per and Str	eet, City,	State, Zip	Code)						
Nam	e of Associat	ed Broker	or Dealer				. =						
State	es in Which F												☐ All States
	(Check "All S L] [AK]	[AZ]			,					☐ [GA]	[HI]	☐ [ID]	☐ All States
(ı		□ [IA]	[KS]					 □ [MA]		[MN]			
□ [N	AT) [NE]	[NV]	□ (NH)	□ [NJ]	□ [NM]					□ (OK)	☐ [OR]	□ [PA]	
□ [F	RI] 🔲 [SC]	☐ [SD]	□ [TN]	□ [TX]	□ (UT)	□ [VT]	□ [VA]	□ [WA]		[WI]		☐ (PR)	
Full	Name (Last r	ame first, i	f individual)									
Busi	ness or Resid	lence Addr	ess (Numb	er and Str	eet, City, S	State, Zip	Code)						
Nam	e of Associat	ed Broker	or Dealer										
State	s in Which P												☐ All States
	` _				[CO]			□ [DC]	[FL]	☐ [GA]	[HI]	[ID]	Sam amina
□ (1	_] 🔲 [iN]	□ [IA]	☐ [KS]	□ [KY]	□ [LA]	☐ [ME]	[MD]	□ [MA]	[Mi]	☐ [MN]	☐ [MS]	[MO]	
□ [1	MT) [NE]	□ [NV]	□ [NH]	[NJ]	□ [NM]	□ [NY]	☐ [NC]	□ [ND]	□ [OH]		□ (OR)	□ [PA]	
□ [F	RI] 🔲 [SC]	□ [SD]	□ [TN]	□ [TX]	[UT]	□ [VT]	□ [VA]	□ [WA]	□ [MΛ]			□ [PR]	
Full i	Name (Last n	ame first, if	findividual)									
Busi	ness or Resid	lence Addr	ess (Numb	er and Str	eet, City, S	State, Zip	Code)						
Nam	e of Associat	ed Broker o	or Dealer										
State	s in Which P (Check "All S												☐ All States
	L] [AK]	□ [AZ]	☐ [AR]	☐ [CA]	☐ [CO]		☐ [DE]		[FL]	☐ [GA]	[HI]	□ [ID]	
	_]	□ [IA]	☐ [KS]	☐ [KY]	[LA]	☐ [ME]	☐ [MD]	☐ [MA]	[MI]	☐ (MN)	☐ [MS]	□ [MO]	
(N						□ [NY]		□ [ND]			□ [OR]	☐ [PA]	
(F		☐ [SD]	[NT]	□ [XT]		[VT]	[VA]	□ [WA]	□ [WV]	[WI]	□ [WY]	☐ [PR]	
				(Use bla	nk sheet, d	or copy an	d use addi	tional copi	es of this s	sheet, as r	ecessary)		

C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

1,	Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.				
	Type of Security		Aggregate Offering Price		Amount Aiready Sold
	Debt	<u>\$</u>	0	\$	0
	Equity	<u>\$_</u>	0	\$_	0
	☐ Common ☐ Preferred				
	Convertible Securities (including warrants)	<u>\$</u>	0	\$	0
	Partnership Interests	\$	0	\$	0
	Other (Specify) limited liability company interests)	\$_	100,000,000	\$	27,087,293
	Total	\$	100,000,000	\$	27,087,293
	Answer also in Appendix, Column 3, if filing under ULOE				
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."				Aggregate
			Number Investors		Dollar Amount of Purchases
	Accredited Investors		81	<u>\$</u>	27,087,293
	Non-accredited Investors		N/A	<u>\$</u>	N/A
	Total (for filings under Rule 504 only)		0	\$	0
	Answer also in Appendix, Column 4, if filing under ULOE				
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C-Question 1.				
	Type of Offering		Types of Security		Dollar Amount Sold
	Rule 505		N/A	\$	N/A
	Regulation A		N/A	\$	N/A
	Rule 504		N/A	\$	N/A
	Total		N/A	\$	N/A
4.	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.				
	Transfer Agent's Fees		🗖	\$	0
	Printing and Engraving Costs		🗆	\$	0
	Legal Fees,		🛛	\$	33,672
	Accounting Fees			\$. 0
	Engineering Fees		🗖	\$	0
	Sales Commissions (specify finders' fees separately)		🗆	\$	0
	Other Expenses (identify)			\$	0
	Total			\$	33,672
					··

	C. OFFERING PRICE, NUMBI	ER OF INVESTORS, EXP	ENSES A	ND USE (OF PROC	EED	S	
4	b. Enter the difference between the aggregate offering Question 1 and total expenses furnished in response to F "adjusted gross proceeds to the issuer."	ence is the			\$	99,9	66,328	
5	Indicate below the amount of the adjusted gross proceed used for each of the purposes shown. If the amount for a estimate and check the box to the left of the estimate. The adjusted gross proceeds to the issuer set forth in response	any purpose is not known, furnis ne total of the payments listed m	h an ust equal	Payme Offic Direct Affilia	ers, ors &			rments to Others
	Salaries and fees			\$	0		\$	0
	Purchase of real estate			\$	0		\$	0
	Purchase, rental or leasing and installation of mad	chinery and equipment		\$	0		\$	0
	Construction or leasing of plant buildings and faci	lities		\$	0 .		\$	0
	Acquisition of other businesses (including the value offering that may be used in exchange for the ass pursuant to a merger	ets or securities of another issue	er	\$	00		\$	0
	Repayment of indebtedness			\$	00		\$	0
	Working capital			\$	0	\boxtimes	\$ 99	<u>,966,32</u> 8
	Other (specify):			\$	0		\$	0
				\$	0		\$	0
	Column Totals			\$	0	×	\$ 99	<u>,</u> 966,328
	Total payments Listed (column totals added)			C	3 <u>\$ 9</u>	9,9	66,32	<u>8</u>
	(**************************************	D. FEDERAL SIGNATU	RE	-				
CO	s issuer has duly caused this notice to be signed by the unstitutes an undertaking by the issuer to furnish to the U.S. the issuer to any non-accredited investor pursuant to para	Securities and Exchange Com-	on. If this no nission, upo	otice is filed u n written requ	nder Rule s	505, the	e following information	signature on furnished
lss	uer (Print or Type)	Signature 7	7	/	Da	te		
CA	Core Fixed Income Fund, LLC	Wall G.	Mal	1	А	pri	12,	2007
Na	me of Signer (Print or Type)	Title of Signer (Print or Type)				_	_	

President of Commonwealth Advisors, Inc., Managing Member of CA Core Fixed Income

ATTENTION

Fund, LLC

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)

Walter A. Morales

·	•	E. STATE SIGNATURE						
1.	Is any party described in 17 CFR 230.262 prese provisions of such rule?	ently subject to any of the disqualification						
	See A	ppendix, Column 5, for state response.						
2.	The undersigned issuer hereby undertakes to furnish to any state administrator of any state in which this notice is filed a notice on Form D (17 CFR 239.500) at such times as required by state law.							
3.	The undersigned issuer hereby undertakes to fu	urnish to the state administrators, upon written request, informa	ation furnished by the issuer to offerees.					
4.		er is familiar with the conditions that must be satisfied to be en ice is filed and understands that the issuer claiming the availab satisfied.						
	suer has read this notification and knows the conter zed person.	nts to be true and has duly caused this notice to be signed on i	its behalf by the undersigned duly					
	(Print or Type) re Fixed Income Fund, LLC	Signature / Incl.	Date April 12, 2007					
	of Signer (Print or Type) A. Morgles L. Mals	Title of Signer (Print or Type) President of Commonwealth Advisors, Inc., Managing Fund, LLC	g Member of CA Core Fixed Income					

Instruction:

Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice on Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

				API	PENDIX							
1		2	3		<u> </u>	4		5				
	Intend to non-a	I to sell ccredited s in State – Item 1)	Type of security and aggregate offering price offered in state (Part C – Item 1)		4 Type of investor and amount purchased in State (Part C – Item 2)							
State	Yes No		Limited Liability Company Interests	Number of Accredited Investors	Amount	Number of Non-Accredited Investors	Amount	Yes	No			
AL		×	\$100,000,000	9	\$1,336,387	0	\$0		Х			
AK												
AZ												
AR								<u> </u>				
CA												
со	,			• • • • • • • • • • • • • • • • • • • •								
СТ												
DE												
DC												
FL		х	\$100,000,000	2	\$1,325,500	0	\$0		х			
GA		Х	\$100,000,000	2	\$95,000	0	\$0		х			
НІ							· <u> </u>					
ID		-										
IL												
IN					-							
IA				· 								
KS	Ü											
KY												
LA		Х	\$100,000,000	60	\$22,780,440	0	\$0		×			
ME												
MD												
MA												
МІ		Х	\$100,000,000	1	\$200,000	0	\$0		Х			
MN												
MS		Х	\$100,000,000	3	\$828,300	0	\$0		х			
МО												
МТ												
NE												
NV												
NH												
NJ												

•											
				APF	PENDIX						
1		2	3			4	.	5			
	to non-a- investors	to sell coredited s in State - Item 1)	Type of security and aggregate offering price offered in state (Part C – Item 1)		Type of investor and Amount purchased in State (Part C – Item 2)						
State	Number of Number of Number of Number of Non-Accredited							Yes	No		
NM							· · · · · · · · · · · · · · · · · · ·				
NY	·								<u> </u>		
NC											
ND											
ОН											
ок								<u> </u>	1		
OR	_								1		
PA					-						
RI											
sc					, <u>.</u>						
SD							-				
TN					_						
TX		х	\$100,000,000	3	\$555,000	0	\$0		х		
UΤ											
VT											
VA											
WA		_									
wv											
WI											
WY											
PR											

